

Nottingham City Council Risk Register - Report Summary

Ref.	Risk description	SR criteria						Threat level (seriousness) & DoT				DoT	Target Threat Level	Managing Accountability			
		Highest Pri	Corp Mit	Legal	Reputation	H & S	Citizen well-being	Financial	Date threat level & DoT	2013/14				Corporate Director (Risk Owner)	Lead Director or Senior Colleague		
										Q1	Q2					Q3	Q4
SR6	Failure to safeguard vulnerable children		✓	✓	✓		✓	✓	Date	Jun-13	Oct-13	Jan-14	Jan-14	↔	Apr-14	A. Michalska CD - Children & Families	H. Blackman Director Safeguarding
									Threat Level	15 (3x5) R	15 (3x5)	15 (3x5)	15 (3x5)		10 (2x5)		
									DoT	Improving	Stable	Deteriorating	Stable				
SR11a	Failure to accurately predict and respond to financial pressures supporting the development and delivery of the medium term financial plan		✓		✓			✓	Date	Jun-13	Oct-13	Jan-14	Mar-14	↔	6 (3x2)	C. Mills Deputy Chief Exec. / CD-Res	T. Kirkham Strategic Finance Director
									Threat Level	12 (3x4) C	12 (3x4)	12 (3x4)	12 (3x4)				
									DoT	Stable	Stable	Stable	Stable				
SR12a	Failure to provide the best educational outcome for children and opportunities for young people to access further education and skills training to contribute to the economic wellbeing of the City (under review)	✓	✓		✓				Date	Mar-13	Oct-13	Jan-14	Jan-14	↔	Apr-15	A. Michalska CD - Children & Families	N. Lee Head of School Access & Imp Acting A. Conquer Head of Ed
									Threat Level	12 (3x4)	12 (3x4) C	12 (3x4) R	12 (3x4)		8 (2x4)		
									DoT	Stable	Stable	Stable	Stable				
SR8b	Failure to implement and embed effective information management structures, policies, procedures, processes and controls to support the council's immediate and future regulatory, legal, and business requirements				✓		✓		Date	Jun-13	Oct-13	Jan-14	Mar-14	↓	Apr-14	C. Mills Deputy Chief Exec. / CD-Res	M. Gannon Director IT
									Threat Level	12 (3x4) C	12 (3x4)	12 (3x4)	12 (3x4)		9 (3x3)		
									DoT	N/A	Stable	Stable	Improving				
SR26	Failure to support Nottingham citizens and communities in minimising the negative impact of welfare changes	✓				✓			Date	Jun-13	Oct-13	Jan-14	Jan-14	↓	Apr-14	C. Mills Deputy Chief Exec. & CD-Res	L. Jones Head of Corporate Policy
									Threat Level	16 (4x4)	16 (4x4)	12 (3x4)	12 (3x4)		9 (3x3)		
									DoT	Stable	Stable	Improving	Improvng				
SR3	Failure to mitigate the impact of the economic climate on Nottingham City and its citizens			✓		✓			Date	Jun-13	Oct-13	Jan-14	Mar-14	↔	Apr-12	D. Bishop CD - Dev	N. Jenkins Head of Economic Development
									Threat Level	9 (3x3)	9 (3x3)	9 (3x3)	9 (3x3)		9 (3x3)		
									DoT	Stable AT TARGET	Stable AT TARGET	Stable AT TARGET	Stable AT TARGET				
SR25a	Failure to embed a corporate approach to commissioning, informed by citizen need, which drives delivery of improved services at significantly lower cost			✓		✓	✓		Date	Jun-12	Oct-13	Jan-14	Mar-14	↓	Mar-14	A. Michalska CD - Children & Families	C. Brudenell Director of Quality and Commissioning
									Threat Level	12 (3x4)	12 (3x4)	9 (3x3)	9 (3x3)		9 (3x3)		
									DoT	Improving	Stable	Improving AT TARGET	Improving AT TARGET				

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		Highest Pri	Corp Mit	Legal	Reputation	H & S	Citizen well-being	Financial	Date threat level & DoT	2013/14					Corporate Director (Risk Owner)	Lead Director or Senior Colleague	
										Q1	Q2	Q3					Q4
SR28	Failure to ensure a financially sustainable adult social care system to respond to significant increases in demand for care while protecting our most vulnerable citizens				✓		✓	✓	Date	Jun-13	Oct-13	Dec-13	Mar-14	⇓	Mar-14	A. Michalska CD - Children & Families	H. Jones - Director of Adult Assessment
		Threat Level	12 (4x3)	12 (4x3)	12 (4x3)	9 (3x3)	6 (2x3)										
		DoT	Stable	Stable	Improving	Improving											
SR30	Failure to create an organisational environment that supports delivery of Council priorities		✓		✓			✓	Date	Jun-13	Oct-13	Jan-14	Mar-14	⇓	Mar-14	I. Curryer Chief Exec.	R. Henderson Head of Service Change & Improvement
		Threat Level	12 (3x4) C	12 (3x4)	12 (3x4)	9 (3x3)	8 (2x4)										
		DoT	N/A	Stable	Stable	Improving											
SR7a/b	Failure to reduce levels of crime and anti-social behaviour (ASB)	✓	✓		✓				Date	Jun-13	Oct-13	Jan-14	Mar-14	⇓	Apr-14	J. Kelly CD-Comm	E. Orrock Comm Safety Exec. Coordinator
		Threat Level	12 (3x4)	12 (3x4)	12 (3x4)	8 (2x4)	8 (2x4)										
		DoT	Improving	Stable	Stable	Improving AT TARGET											
SR2a	Of the reputation of the City		✓		✓			✓	Date	Jun-13	Oct-13	Jan-14	Mar-14	↔	Oct-12	I. Curryer Chief Exec.	C. Richmond Dir Policy Partnerships & Comms
		Threat Level	6 (2x3)	6 (2x3)	6 (2x3)	6 (2x3)	6 (2x3)										
		DoT	Stable AT TARGET	Stable AT TARGET	Stable AT TARGET	Stable AT TARGET											
SR5a	Failure to safeguard vulnerable adults		✓	✓	✓			✓	Date	Jun-13	Oct-13	Jan-14	Mar-14	↔	Oct 2014	A. Michalska CD - Children & Families	H. Jones Dir Comm Inclusion E. Yardley Dir Access & Reablement
		Threat Level	8 (2x4)	8 (2x4)	6 (2x3)	6 (2x3)	6 (2x3)										
		DoT	Improving	Improving	Improving AT TARGET	Stable AT TARGET											
SR10	Failure to maintain good standards of governance		✓		✓			✓	Date	Jun-13	Sep-13	Jan-14	Mar-14	↔	Mar-13	C. Mills Deputy Chief Exec. & CD-Res	G. O'Connell Director Legal & Democratic Services
		Threat Level	6 (2x3)	6 (2x3)	6 (2x3)	6 (2x3)	6 (2x3)										
		DoT	Improving AT TARGET	Stable AT TARGET	Stable AT TARGET	Stable AT TARGET											
SR24	Failure to ensure effective systems are in place to manage health and safety risks			✓	✓	✓		✓	Date	Jun-13	Oct-13	Jan-14	Mar-14	↔	Dec-13	C. Mills Deputy Chief Exec. & CD-Res	P. Millward Head of Service Emergency Planning
		Threat Level	6 (2x3)	6 (2x3)	6 (2x3)	6 (2x3)	6 (2x3)										
		DoT	Improving AT TARGET	Stable AT TARGET	Stable AT TARGET	Stable AT TARGET											

DIRECTION OF TRAVEL (DoT):

Improving (reducing) threat level



Stable threat level



Deteriorating (increasing) threat level

